



Kentuckiana ISACA Website

The purpose of this news letter is to provide a medium by which the Kentuckiana ISACA members can share auditing information, enable new members to establish a professional network, provide valuable career opportunities to members, and keep membership updated on the industry trends. Also available from your Kentuckiana ISACA group is our web-page that contains similar information in a dynamic and GUI format. If you have not seen our website, please check it out [here](#).

This award winning site contains chapter general information, career opportunities, past newsletters, contact information, reference material and more.

Career Opportunities

The KY ISACA Chapter strongly believes in providing a medium through which members can network and grow professionally. To that end, the KY ISACA chapter offers a web page for job opportunities to our members and to the community. If you are interested in posting job opportunities on our website, please contact Michael Vincent for more information.

Current career opportunities posted are located on our [Louisville Area Job Openings Page](#).

Thank you for supporting the KY ISACA Chapter.

Next ISACA Lunch Meeting

Time: May 09, 2006

11:30 – Sign-In and Networking

12:00 – 1:00 - Presentation

CPE: 1 CPE Hour

Speaker - Topic:

SOX Technology

Rocco Tarasi, Resources Global Professionals

See Page 4 for bio.

Location:

The Jefferson Club

2900 PNC Plaza

Louisville KY. 40202

Menu Selections:

- Chicken Caesar Salad
- Pasta with Garden Vegetables and Tomato Sauce
- Petite Beef Tenderloin with Demi Glace Sauce
- Sweet and Sour Chicken over Rice
- Derby Pie
- Iced Tea and Water

RSVP: Carrie Ramsey by May 03, 2006.

Office: (502) 627-4738

Carrie.Ramsey@eon-us.com

Cost:

FREE!!!

PayPal is a quick and simple way to pay for monthly ISACA meetings. From the chapter web site (, go to the meeting and seminar schedule page. Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount; enter your log-in and password. *If you don't already have a "PayPal" account*, you will need to fill in the information for new members (similar to other ecommerce web sites such as Amazon). Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Kentucky ISACA web site. The local chapter also receives an e-mail that tells us you have paid. You will **still need to contact Carrie Ramsey** with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

Scheduled Events

The KY Chapter of ISACA is aware that scheduling conflicts can occur at the last moment. Unfortunately, due to the nature KY ISACA events, our chapter is often charged for lunches even if respondents are unable to attend.

If a participant to one of our events originally states that they can attend and prepay; but are then unable to fulfill that obligation, the prepaid lunch cost cannot be refunded unless at least a 48 hour notice is provided by the participant. Please be aware of this new requirement when choosing to attend one of the many KY ISACA functions.

PRESIDENT'S MESSAGE

From the desk of
Matthew Smith

Greetings,

We had a very nice turnout for the March ISACA meeting at the Brown Hotel. Thank you to everyone who attended. We really appreciate Brian Long and Doug Torline from PricewaterhouseCoopers LLP speaking to us on "Auditing Automated Controls and Key Reports". For anyone who would like to get a soft copy of the presentation, it has been posted to our Chapter website.

As many of you recall, we typically try to do a joint event in the spring with the Louisville IIA Chapter. Our next event will be on May 9th together with the IIA on the topic of "SOX Technology". Thank you in advance to *Resources Global Professionals* for sponsoring this event, which means it will be FREE to our members. So please join us for this lunch 'n' learn event and take the opportunity to network with members from the local IIA Chapter. Did I mention it is FREE?!!! No excuses folks.

Another big event we traditionally have in the spring is the CISA exam review course for all of you who are sitting in June. Our CISA Coordinator, Melissa Perry, has done a wonderful job planning a review course this year. All the details are included in this newsletter and on our Chapter website. There is an earlybird discount available, so make plans to attend now. The exam will be here before you know it, so be prepared.

We are near the end of another successful year as the Kentuckiana ISACA Chapter, so it is time to start thinking about who will lead us in the '06/'07 Chapter year. Please find included in this newsletter some very important information about Chapter Board member nominations and elections. I encourage you to consider participation in the Chapter as a Board member – this is a great opportunity to give back to the profession, help build the IT audit and security profession in our community, and showcase your skills as a leader and team player. Don't hesitate to nominate yourself for a position!

I look forward to seeing everyone on May 9th for our next meeting. Thank you.

Regards,

Matthew Smith

Job Opportunity

Position:

IT Audit Consultant at Humana Inc.

Description:

We are seeking an exceptional IT Internal Audit Consultant to provide value-added service in our Internal Audit Department by evaluating the efficiency and effectiveness of Humana's Information Technology processes and the supporting control structure. We offer an excellent benefit package that includes 3 weeks vacation a year, immediate eligibility with company matching in 401K, immediate eligibility for health and dental, relocation, and tuition reimbursement.

In addition to the exposure to corporate strategy, business plans, and the management teams that execute those strategies, consultants are exposed to various learning opportunities, such as, Six Sigma, Financial Transactions, Information Technology Development, Project Management, Health Insurance, and Risk and Control Certification.

Through these learning opportunities associates are provided opportunities for advancement, both within Internal Audit and within Humana business and technical areas. Internal Audit has a proven record of advancing associates throughout the company.

Position Requirements:

- Bachelor's degree in Computer Science or Information Systems
- CISA, CISSP, PMP Certifications preferred
- Minimum three to five years in either IT or IT Audit
- Excellent verbal and written communication skills
- Excellent time and project management skills
- Excellent analytical and problem-solving skills
- Detail-oriented; yet sees the whole system and acts strategically
- Able to manage multiple projects/tasks simultaneously
- Self-motivated; innovative
- Reliable and accountable
- Team oriented; builds trust

Contact Information:

Chris Atzinger, Director IT Internal Audit at Humana Inc.
580-8608
catzinger@humana.com

Policy for Posting Positions:

There have been several inquiries from various parties regarding the KY Chapter of ISACA and posting job inquiries. Below are the requirements as outlined within KY ISACA Board meeting Minutes:

- Members
 - o Free in 1 month's newsletter
 - o Free for 30 days on KY ISACA website
 - o Member is responsible for renewing posting on a monthly basis
- Non-Members
 - o \$50 for 1 month's newsletter AND 30 days on KY ISACA website
 - o \$50 for each additional month

Submit an Article

Do you have something you would like to post in our newsletter? No problem. Submit an email to Michael Vincent at mvincent@humana.com. Please also include a title for your article along with the verbiage. Language in the articles is subject to editing for appropriateness.



News and Information

Want to know what is happening with ISACA International? Click on this [link](#) and you will be taken to the News and Information Page. Here you will find articles and press releases. Additionally, you can also learn more about becoming CISA certified or how to register for conferences.

Rocco Tarasi - Speaker Bio

Mr. Tarasi is a Managing Director of Resources Global Professionals and is responsible for the company's policyIQ software group. He was previously the CEO and founder of Snap River Technologies, the company that developed policyIQ before its sale to Resources in 2003. Before founding Snap River Technologies, Mr. Tarasi had been the CFO of another start-up technology company; an Investment Banker; and a Big 5 Audit Manager in Pittsburgh, Pennsylvania. He is a Certified Public Accountant and has been a speaker at dozens of seminars and training sessions on subjects including entrepreneurship, policy and procedure management, internal controls, risk assessments, and compliance with the Sarbanes-Oxley Act.



CISA Exam Review Course

The Kentuckiana Chapter of ISACA will be hosting a CISA exam review course for the upcoming exam. The course will be held from Saturday, May 20th through Sunday, May 21st at the Hyatt Regency in downtown Louisville, Kentucky. It will be taught by Dr. Srinivasan ("Srini" for short), who is a professor of computer information systems in the College of Business and Public Administration at the University of Louisville. Srini has presented in the past to the Kentuckiana Chapter at our regular monthly meetings and his work has been published in the "Information Systems Control Journal". The cost for the course is \$250 for ISACA members if registered by April 30th, and \$300 for registration thereafter. Cost for non-members is \$300 and a discounted rate of \$200 is available to students. Registration is available online at the link noted below. Breakfast, lunch, and light snacks for both days are included in this cost. In addition, participants will receive approximately 15 hours of CPE for attendance at this event. Please contact the Kentuckiana Chapter CISA Coordinator, Melissa Perry (melissaperry@consumerschoicecoffee.com), if you have any questions. We believe participation in this course will greatly assist those who are preparing to sit for the CISA exam this summer and we strongly encourage you to join us for this course. Class size is limited, so register ASAP! You may register for this course via our Chapter website at: <http://www.isauditor.net/isaca/metsem/meetsem.htm>. Thank you.

Special message from the Kentuckiana ISSA chapter **CISSP Certification Boot Camp and Testing**

The Kentuckiana ISSA chapter is bringing ISC2, the folks who wrote the book to conduct a week long CISSP boot camp and the CISSP testing right here in Louisville! Mark your calendars for the second week of May to participate. Questions should be directed at Jackie Lewis and Nancy Wheeler who may all be reached by using this email address, education@issa-kentuckiana.org. Seating is limited so don't delay. Boot camp is a great way to disconnect from work and get certified the first attempt. It is a great investment in yourself that will last your entire life.

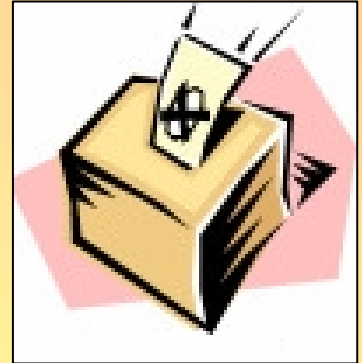
See <http://www.issa-kentuckiana.org/events.html> for additional information.

Nominations now being accepted for KY ISACA Officers

The Kentucky Chapter of ISACA is currently accepting nominations for officer positions. On the next two pages, you will see descriptions and responsibilities for each of the positions. If you or someone you would like to nominate would be interested in a board position, please send an email to Matt Smith at matthew_smith@b-f.com.

Please submit your nomination by May 3rd 2006.

Nominations will be announced at the May 9th joint ISACA/IIA Meeting.



ISACA Officer Descriptions

Position Descriptions are noted below. It is not uncommon for someone to hold two positions, such as Vice President and Web Master, so if you are interested in more than one position, please make that known. All members are encouraged to participate.

Below are a list of positions and requirements for the ISACA board members. Each year, the ISACA board works hard to affect positive change and maintain the level of excellence that is expected from ISACA. Special thanks to all of the members of our board who strive for excellence and who volunteer their time to serve.

The 2005-2006 Board

The Chapter President shall:

- * Preside at all meetings of the Chapter and the Chapter Board
- * Coordinate Chapter Board meetings
- * Appoint, with the approval of the Chapter Board, all committee chairpersons and committee members
- * Be an ex-officio member of all committees except the Nominating Committee
- * Represent the Chapter at Leadership Conference/Presidents Council Meeting(s)
- * Maintain communications with the Association and respond to Association inquiries
- * Be responsible for submission of the chapter annual report to the Association within 30 days after annual general meeting
- * Supervise budgetary matters and proper internal control of finances

The Chapter Vice President(s) shall:

- * Perform the duties of the President in the event of his/her absence or disability
- * Assist the President in special projects
- * Attend Chapter Board meetings
- * Contribute to the chapter annual report, as appropriate

The Vice President of Programming shall:

- * Work with the Chapter membership to develop a program schedule
- * Work with the speakers to ensure preparation for presentations (equipment availability and set up, handouts, etc.)
- * Assist in the development of Chapter sponsored seminars
- * Lead the efforts in finding suitable meeting location(s), contracts for meals (if appropriate), etc.
- * Attend Chapter Board meetings
- * Contribute to the chapter annual report, as appropriate
- * Collect RSVPs for meetings, create sign-in sheets and submit meal selections to the provider
- * Maintain name tags, sign-in members at meetings and collect meal fees
- * Maintain attendance records
- * Work with the Treasurer to submit collections

The Chapter Secretary shall:

- * Take minutes of the meetings of the Chapter Board and membership meetings and maintain the files of previous minutes for at least three (3) years
- * Attend Chapter Board meetings
- * Be responsible for the legal affairs, Chapter reports, and communications and correspondence pertaining to the Chapter
- * Contribute to the chapter annual report, as appropriate

The Chapter Treasurer shall:

- Be custodian of Chapter funds
 - * Maintain chapter checkbook and managed investments - currently certificates of deposit
 - * Accept receipts from monthly meetings. Reconcile the amounts received to attendance listing and number of meals served.
 - * Deposit cash receipts from meetings and chapter dues

- * Reconcile checkbook
- * Pay invoices
- * Receive all monies and disburse funds only upon the sanction of the Chapter Board, or the Chapter membership
- * Remit dues to the Association as required
- * Submit a written report at each Board meeting
- * Submit books and records for audit
- * Attend Chapter Board meetings
- * File any and all tax forms required
 - * Prepare annual financial statements for inclusion in chapter tax return
- * Maintain details records for audit of chapter records
- * Contribute to the chapter annual report, as appropriate

The immediate Past President of the Chapter shall:

- * Attend Chapter Board meetings
- * Serve in an advisory capacity
- * Perform other duties as assigned by the President

The Webmaster shall:

- Update web site for new content such as meetings, newsletters, officer contact information, etc.
- Maintain security of web site password
- Configure PayPal ecommerce transactions used on web pages
- Technical administration of chapter PayPal account
- Administration/configuration of special email addresses to forward to appropriate chapter officers
- Contribute to the chapter annual report, as appropriate
- Other related duties as required

The CISA Coordinator shall:

- * Responsible for being a source of information to current and prospective CISAs and CISM's
- * Actively promote the CISA exam and program
- * Coordinating a CISA review if the Board deems it beneficial to members
- * Contribute to the chapter annual report, as appropriate

The Newsletter Editor shall:

- * Gather information and prepare and submit to the Chapter a newsletter prior to each meeting and at other times, as necessary
- * Coordinate with the Webmaster to have the newsletter posted on the Chapter website

The Membership Director shall:

- * Maintain accurate lists of the membership
- * Actively pursue new members
- * Address Association inquiries, as appropriate
- * Contribute to the chapter annual report, as appropriate

The Academic Relations Liaison shall:

- * Liaise with local colleges and universities and/or the university's academic advocate
- * Create relationships with university and college contacts
- * Contact professors and instructors to promote ISACA and the ITGI and forward contact information to the director of academic relations, research@isaca.org.

The Standards Liaison shall:

- * Act as Chapter's primary contact with the Standards board
- * Solicit feedback from Chapter members on exposure drafts of standards, guidelines and procedures
- * Schedules and ensures proper delivery of the International Headquarters/Standards Board PowerPoint presentations to the Chapter.

The Chapter Members shall:

- * Actively participate in Chapter meetings
- * Occasionally volunteer as a presenter at Chapter meetings
- * Assist Chapter leaders with special projects such as meeting coordination, development and scheduling of seminars, etc. as needed

Forum

By Mike Vincent
mvincent@humana.com

Submit an Article

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Educating Senior Management: How to Answer the “So What”

Often times when auditors approach senior management with an issue, the auditor is both disturbed and surprised when management barely acknowledges the issues. The response is almost always the same: “So what”. Often, this is misinterpreted by the auditor as indifference. On the contrary, management is now prepared to hear your explanation. You just need to make it quick.

Often auditors lack the homework to link the issue to organizational strategy and begin babbling around details. Boring! Not only have you lost their attention, but now you have trained that member of management that you are to be avoided for future conversations.

When preparing to engage senior management, you must have the ‘30 Second Speech’ ready to go. Often, it may take several hours of preparation, but it definitely worth it. Being unprepared when asked ‘So what?’ tells management that you have not researched anything beyond the issue itself.

I highly suggest Milo Frank’s ‘How to Get Your Point Across in 30 Seconds or Less’. Milo leads the reader through the thought process of developing the case, preparing the structure and then being able to immediately repeat your 30 second argument on demand. The key to Milo’s process is the objective. What do you want to get across?

The objective is being able to communicate clearly, concisely, and definitively to senior management how the issue directly impacts and organizational strategic objective. If you can make this case and link to strategy work, you will have the senior management’s direct attention with their next statement: “Tell me more”. Now it’s time to begin explaining the details. Congratulations!

*Kentuckiana Chapter Officers***

Officer	Position	Company	email
Matthew Smith	President	Brown-Forman Corporation	matthew_smith@b-f.com
Bruce Edwards	VP & Web master	U of L	Bruce@quasarcomics.com
Michael Vincent	VP & Newsletter	Humana	mvincent@humana.com
Diane Kissel	VP Programming	Kindred Health Care	diane.kissel@kindredhealthcare.com
Bob Boyle	Treasurer	Strothman & Company	rboyle@strothman.com
Debbie Shelton	Past President, Secretary	LG&E Energy	Debbie.Shelton@lgeenergy.com
Carla Shields	Membership Director	Ajilon Finance	carla.shields@ajilonfinance.com
James Rose	Standards Liaison	Humana	jrose1@humana.com
Dave Barker	Academic Liaison	U of L	dfbark01@louisville.edu
Melissa Perry	CISA Coordinator	Consumers Choice Coffee	melissaperrycpa@yahoo.com
Danette Dillow	Asst. Treasurer	Republic Bank	DDillow@republicbank.com

Calendar of Events

ISACA

RSVP: carrie.ramsey@lgeenergy.com

Date / Location	Topic	Speaker
September 5 / Kindred Healthcare	IT Compliance for Dummies	Active Reasoning
November 2, 2005	Database Security & Control	Dr. S. Srinivasan
December 7, 2005 / Vincenzo's	Information Security Governance: Aligning Security with Business to Produce Strategic Value and Effective Management Oversight.	David Gorgas, CISA, CIA, CNNA
January 19, 2006 / TBD	Social Event	Dr. Alan Lord & Matthew Smith
February 16, 2006	Six Sigma Methodology in Audit Engagements	Michael Vincent, MBA, PMP, CISA
March 23, 2006	Auditing Automated Controls and Key Reports	Brian Long and Doug Torline
4/12/2006	Overview of Audit Process Related to SOX 404 (Joint meeting w/ IIA)	Trim Shastri and Ben Foster
5/02/2006	Last day to nominate KY ISACA Officer	
5/09/2006	SOX Technology	Rocco Tarasi
6/2006	Elect Officers	

Institute of Internal Auditors
RSVP: carrie.ramsey@lgeenergy.com

Date	Topic	Speaker
11/8/2005	ERM	James Rose, MA, CPA, CISSP
12/13/2005	Effective QARs	Jennifer Burke
1/10/2006 (AM)	Roundtable – Evolving Role of Internal Audit	Dave Barker
1/10/2006 (Lunch)	Evolving Role of Internal Audit	Teresa Snediger
2/2/2006 (All Day)	Fraud – 2006	Courtenay Thompson (& Associates)
2/14/2006	Alternative Dispute Resolution in Business	Tony Belak
3/14/2006	Quantitative Risk Assessment in Action	Bruce Edwards
4/12/2006	Overview of Audit Process Related to SOX 404	Trim Shastri and Ben Foster
5/09/2006	SOX Technology	Rocco Tarasi