

Newsletter

The purpose of this newsletter is to provide a medium by which the Kentuckiana ISACA members can share auditing information, enable new members to establish a professional network, provide valuable career opportunities to members, and keep membership updated on the industry trends.

ISACA Lunch Meeting

WHEN: September 29th 2006

11:30 Sign-In & Networking
12:00 – 1:00 Lunch & Presentation

WHO:

Andrew Tanner (Ernst & Young)

See Page 3 for bio.

WHAT:

Enterprise Wide SOD

See Page 4 for description.

CPE: 1 CPE Hour

WHERE:

Masterson's ([directions and map](#))
1830 S 3rd St, Louisville, KY
(502) 636-2511

Lunch Buffet

RSVP: kysisaca@isauditor.net

Before September 21st 2006

COST:

\$15.00 per person.

Please see Page 5 for information on how to pay via PayPal.

PRESIDENT'S MESSAGE **From the desk of Matthew Smith**

Greetings,

Welcome back from summer vacation, and welcome to a new year for the Kentuckiana Chapter of ISACA. We have a new Chapter Board for 2006/2007 with a nice mix of new individuals and seasoned Board members. Please join me in welcoming Ben Thomas (Newsletter Editor) and Bill Bonny (Membership Director) to the Board. The new Chapter Board has been working very hard to plan for a successful and event-filled year.

One change that you have probably already noticed if you are reading this newsletter is that we have established a new e-mail address for Chapter communications.

The address is:

kysisaca@isauditor.net

Continued on Page 2.

Chapter Website

Our award winning site contains chapter general information, career opportunities, past newsletters, contact information, reference material and more.



<http://www.isauditor.net/isaca>

Read more about our website's award on page 5.
Great job Bruce!

PRESIDENT’S MESSAGE (Continued)
From the desk of Matthew Smith

Please note you will receive all of our newsletters and announcements from this address going forward. In addition, you will use this address to RSVP for events and it can be used for general questions/comments regarding the Kentuckiana ISACA Chapter. For questions/comments that you would like to direct towards a specific Board member, our contact information is included towards the end of the newsletter as it has been in the past.

We have our first meeting of the year coming up on September 29th, so I hope to see all of you there. For this meeting, we are excited to have Andrew Tanner from Ernst & Young speak to us on the topic of "Enterprise Wide SOD". Segregation of duties affects your organization, irregardless of what industry you are in, or how big or small your shop is – so please join us!

As always, we welcome your feedback on our Chapter’s activities. So if you have any ideas on how to make our Chapter better, or how we can better serve your professional needs, feel free to let us know throughout the year. Thank you.

Regards,

Matthew Smith

We will miss you John!

ISACA was saddened to learn of the recent death of past International President John Minta, 1987-1988. In 1977 Minta was one of the founding members of the Kentucky ISACA Chapter. He was also a firm and outspoken supporter of ISACA, rarely missing an International Conference, where he delighted in renewing friendships with ISACA members and leaders. A holder of the CISA certification, Minta was a member of numerous ISACA committees and was also the recipient of the Eugene M. Frank Award, which honors individuals for outstanding contributions to ISACA or the IT Governance Institute (ITGI). John was also president of the Clarksville Town Council and a longtime Southern Indiana civic leader. Minta, a retired banker, was in his ninth year as president of the council, making him the town's highest elected official. He played a key role in a six-year effort to build the Falls of the Ohio Interpretive Center in the early 1990s and more recently championed the town's connection to the origins of the Lewis and Clark expedition. Minta was honored in recent years by the Indiana General Assembly, the Indiana Association of Cities and Towns, and other groups for his political and civic work. He was an avid golfer, an amateur historian, and a former basketball coach.

ISACA is grateful for John Minta's boundless energy in guiding the association, and extends to his family its deepest sympathy on their loss.

Speaker Bio

Andrew J. Tanner (MBA/CISA) Ernst & Young - Senior Manager

Andrew attended Michigan State University for his undergraduate studies, where he obtained his Bachelor of Arts in Professional Accounting. Subsequently, Andrew was accepted into the Eli Broad Graduate School of Management at Michigan State University, where he obtained his MBA in Management Information Systems with a specialization in Supply Chain Management. Upon completion of his undergraduate and graduate studies in December 1998, Andrew accepted a position from Ernst & Young to join their Technology and Security Risk Services (TSRS) practice.

In Andrew's seven plus years at E&Y, he has managed engagements in many areas including Access Management, IT Audit, ERP Integrity, Process / Control Design, and Data Analysis. The majority of his clients are in the Manufacturing / Automotive Industry, and he has also performed work in Financial Services, Health Care, Retail, IT Services, and Higher Education industries. Andrew was appointed as the E&Y Data Analysis Leader for Detroit and Toledo in 2003, and has recently been appointed as the Data Analysis Advisory Services leader for E&Y's North Central Area. Andrew is also the TSRS North Central Area Champion for Segregation of Duties Enterprise Management. In this role, he has assisted in the development of methodologies and tools that are used across the firm, and serves as an advisor to all client teams in the area.

Information Systems

Control Journal Features

- ▶ Annus Horribilis 2005—The Year of Our Discontent: The Fall of the Imperial CEO
Deepak Sarup, CISA, FCA 🚫
- ▶ Embedding Policy Governance Within Business Processes
Bapi Mohanty 🚫
- ▶ Reducing the Cost of Sarbanes-Oxley Compliance Through Common Controls
John J. Pfister, MCS 🚫
- ▶ SAS 70 for Sarbanes-Oxley Compliance
Martin Coe, CISA, CISM, CPA 🚫
- ▶ Streamline ISO 27001 Implementation: Reducing the Time and Effort Required for Compliance
David Ramirez, CISM, CISSP, BS 7799 LA 🚫
- ▶ The Technological Auditor: How Automation Is Changing Auditors' Roles
Parimal Kumar, CPA 🚫
- ▶ Tools, Techniques and Tips for IT Auditors: Strategies for Complying With Section 404
Jerry Grenough, CPA 🚫
- ▶ Value Management—Responding to the Challenge of Value
John Thorp, CMC, I.S.P. 🚫

🚫 ISACA Login Required

Do you have something you would like to post in our newsletter? No problem. Submit an email to: Benjamin Thomas (bdthomas@rescare.com). Please also include a title for your article along with the verbiage. Language in the articles is subject to editing for appropriateness.

Chapter Officers

<u>Officer</u>	<u>Position</u>	<u>E-mail</u>
Matthew Smith	President	matthew_smith@b-f.com
Bruce Edwards	VP & Web master	Bruce@BruceEdwards.com
Michael Vincent	VP Programming	mvincent@humana.com
Diane Kissel	Secretary	diane.kissel@kindredhealthcare.com
Bob Boyle	Treasurer	rboyle@strothman.com
James Rose	Standards Liaison	jrose1@humana.com
Dave Barker	Academic Liaison	dfbark01@louisville.edu
Melissa Perry	CISA Coordinator	MelissaPerry@consumerschoicecoffee.com
Bill Bonny	Membership Director	Bill.Bonny@ajilonfinance.com
Benjamin Thomas	VP & Newsletter	bdthomas@rescare.com

Calendar of Events

RSVP: kyisaca@isauditor.net

<u>Date:</u>	<u>Topic/Speaker:</u>
9/29/2006	Enterprise Wide SOD, Andrew Tanner (Ernst & Young)
11/17/2006	IT Strategy for Mergers and Acquisitions, Bill Baldwin (Humana, Inc.)

Enterprise Wide SOD by Andrew Tanner

Segregation of Duties Enterprise Management

Segregation of Duties (SOD) has recently become a common topic of conversation among top executives, primarily due to Sarbanes-Oxley. Although many organizations have included SOD as a key control activity, their level of design, operation and documentation are typically not meeting today's compliance standards. In the cases where SOD control activities are effectively designed and operated, organizations often experience high costs of operation and compliance. SOD Enterprise Management is an approach to addressing organizational control and compliance requirements, while optimizing operational efficiencies through standardization and automation.

Scheduled Events

The KY Chapter of ISACA is aware that scheduling conflicts can occur at the last moment. Unfortunately, due to the nature KY ISACA events, our chapter is often charged for lunches even if respondents are unable to attend.

If a participant to one of our events originally states that they can attend and prepay; but are then unable to fulfill that obligation, the prepaid lunch cost cannot be refunded unless at least a 48 hour notice is provided by the participant. Please be aware of this new requirement when choosing to attend one of the many KY ISACA functions.

Career Opportunities

The KY ISACA Chapter strongly believes in providing a medium through which members can network and grow professionally. To that end, the KY ISACA chapter offers a web page for job opportunities to our members and to the community. If you are interested in posting job opportunities on our website, please contact kyisaca@isauditor.net for more information.

Current career opportunities posted are located on our [Louisville Area Job Openings Page](#).

News and Information

Want to know what is happening with ISACA International? Click on this [link](#) and you will be taken to the News and Information Page. Here you will find articles and press releases. Additionally, you can also learn more about becoming CISA certified or how to register for conferences.

Kentuckiana Chapter Website Award!

ISACA Kentuckiana Chapter,

On behalf of the ISACA International Membership Board, I am pleased to extend congratulations to the Kentuckiana Chapter for achieving recognition for your web site. Your chapter has been awarded a bronze-level award for 2005. You should be proud of the work your chapter put forth in the design, content and management of your chapter web site to earn this recognition.

Every year, the Membership Board reviews all of the chapter web sites that link from the ISACA International web site:

<http://www.isaca.org/chapters>

Please accept our congratulations on a job well done by you and your chapter board.

Sincerely,
Megan Moritz

Policy for Posting Positions:

There have been several inquiries from various parties regarding the KY Chapter of ISACA and posting job inquiries. Below are the requirements as outlined within KY ISACA Board meeting Minutes:

Members

- Free in 1 month's newsletter
- Free for 30 days on KY ISACA website
- Member is responsible for renewing posting on a monthly basis

Non-Members

- \$50 for 1 month's newsletter AND 30 days on KY ISACA website
- \$50 for each additional month



PayPal is a quick and simple way to pay for monthly ISACA meetings. From the chapter web site ([go to the meeting and seminar schedule page](#)). Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount; enter your log-in and password. If you don't, creating an account is easy!

Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Kentucky ISACA web site. The local chapter also receives an e-mail that tells us you have paid.

You will kyisaca@isauditor.net with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.



4th Annual ISSA Louisville Metro InfoSec Conference

"Securing Your Digital Identity"

The Galt House
October 10, 2006
7:30 am - 5:00 pm

The Kentuckiana ISSA chapter is proud to present the 2006 - 4th Annual Louisville Metro Information Security Conference. This event showcases national and regionally known speakers in the field of Information Security for an audience of Information Technology professionals. This year's one-day conference will be held at the Galt House in Louisville, Kentucky on Tuesday, October 10th.

Our keynote speaker this year will be Kevin Mitnick, known for gaining unauthorized access to computer systems at some of the largest corporations in the world, using both technical and non-technical means to obtain the source code to various operating systems. As the world's most famous hacker, Kevin has been the subject of countless news and magazine articles. He will be giving a presentation on "The Art of Deception" and will be available for a book signing during the conference.

There will be three tracks for the breakout sessions throughout the day, addressing the Business, Technical and Compliance aspects of digital identity protection. Information security and other products and services will be on display throughout the day by our many sponsors. Door prizes and other awards will be given away during the closing session.

The Art of Deception: Are YOU In Danger of Being 'Conned?'

Join us to hear the world's most famous former hacker share his perspective on the threat of "social engineering"-a highly effective type of attack that exploits the human element of corporate security. While relatively unknown to the general public, the term "social engineering" is widely used within the computer security community to describe the techniques hackers use to deceive a trusted computer user within a company into revealing sensitive information, or trick an unsuspecting mark into performing actions that create a security hole.

More information:

<http://issa-kentuckiana.org/infosec/2006/index.html>

Register Online:

<http://www.regonline.com/97897>

Cincinnati ISACA Training Opportunity: Securing and Auditing Active Directory

Mark your calendar and send in your reservations for this two-day course on Active Directory. The audience for this course includes System Auditors, System Administrators, Security Administrators, and Information Security professionals involved with securing and/or reviewing Active Directory. The course covers Active Directory structure, security, authority, configuration, audit planning, and obtaining evidence. You will learn techniques for assessing administrative authority, identifying arcane risks, and using resource kit utilities and shareware. You will discover tips for extracting pertinent information for audits as well as how features in Active Directory will facilitate Sarbanes-Oxley compliance.

The instructor, Roger Grimes, is an expert on Windows security, an author, and teacher. He has authored several Windows Security books such as *Honeypots for Windows* and *Malicious Mobile Code - Virus Protection for Windows* and written more than 100 magazine articles on security.

WHERE

Montgomery Inn, 9440 Montgomery Road, Montgomery, Ohio, just north of the Ronald Reagan Cross County Highway. In case you get lost call 513-791-3482. Free Parking is available behind the restaurant and across the street.

WHEN

Thursday October 5 from 8 a.m. to 5 p.m and Friday October 6, 2006 from 8 a.m. to 4:30 p.m

NOURISHMENT

Continental breakfast, lunch and breaks provided both days. Montgomery Inn is noted for its food. Tell us about any special dietary requirements.

TUITION

\$450 for members of ISACA through September 13, 2006. This is an enticement to join, so if you are not a member, ask about becoming one. \$500 for non-members and members after September 13, 2006

Pay on-line at the website

<http://www.isaca-cincinnati.org>

Send your checks to Greater Cincinnati Chapter,
P.O.Box 1744, Cincinnati, Ohio, 45201

Credit Hours 15

Bonuses include four evidence checklists for each level of the Windows environment detailing the reports, screen prints, and commands.

Topics include:

1. Active Directory Structure
2. Active Directory Security
3. Administrative Authority in Active Directory
4. Relating Active Directory to Windows Servers
5. Centralized Security Configuration
6. Audit Planning and Scope
7. Collecting the Evidence
8. Analyzing the Evidence

For more information email:

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