

Next Meeting:

January 9th 2006 (RSVP by 1/4/2007)

11:30 sign-in and networking;
12:00-1:00 Lunch and presentation

Speaker: Robert M. Boyle, Strothman & Company

Topic: Technology Risks - The Game Has Changed

Location: ([Click for directions](#))

Bristol Bar and Grille
614 West Main Street
Louisville KY. 40202

Cost: \$20 (1 CPE)

Payment can be made at the time of the luncheon meeting or
in advance via PayPal

[ISACA Chapter Website](#)

Please **RSVP with menu choice before close of business
on 1/04/2007** to kyisaca@isauditor.net

*If you have special dietary requirements please let us know
when you RSVP*

Newsletter

The purpose of this newsletter is to provide a medium by which the Kentuckiana ISACA members can share auditing information, enable new members to establish a professional network, provide valuable career opportunities to members, and keep membership updated on the industry trends.

[Print Newsletter](#)

[Exit Full Screen View](#)

PRESIDENT'S MESSAGE

FROM THE DESK OF MATTHEW SMITH

Greetings,

Happy New Year! I hope that everyone had a wonderful holiday and a safe New Year's celebration. Our Chapter had a very successful calendar year 2006. There were a number of great events throughout the year and I believe we made a smooth transition of the Chapter leadership to our new Board of Directors. I was pleased with 2006, and one of my resolutions for 2007 is to make it an even better year for you as a member of the Kentuckiana Chapter.

[Continued on Page 2](#)

PRESIDENT'S MESSAGE (CONTINUED)

Thanks to everyone who was able to attend the joint meeting last month with the IIA to hear Michael Vincent speak on "Presentation Skills for Auditors." We'll be meeting again this month with the IIA to hear Bob Boyle speak on "Technology Risks". This is a great opportunity to meet with our counterparts in financial and operational roles to discuss the risks that each of our groups are required to address on a daily basis. Get signed up to attend today

Don't forget about our two-day Active Directory (Microsoft Windows) seminar that we are hosting - it will be here before you know it. The earlybird registration deadline is January 31st - sign up by then to get the discounted price. We have had a great deal of interest in this seminar and a lot of folks have signed up already, but there are still some spots available. Get yours today.

Again, Happy New Year and I look forward to seeing you next week at the first Chapter meeting of 2007!

Regards,

Matthew Smith

ISACA Online Articles

[Telephone Line Scanning Still Matters](#)

[A Framework for Conducting IT Due Diligence in Mergers and Acquisitions](#)

[Framework for Measuring and Reporting Performance of Information Security Programs in Offshore Outsourcing](#)

[What Every IT Auditor Should Know About Identity Theft](#)

[Book Review: Making IT Governance Work in a Sarbanes-Oxley World](#)

ISACA Login Required



Speaker Bio:

Robert M. Boyle CISSP, CISA, CCP
Partner, Strothman & Company



Bob is with Strothman and Company, and serves as the firm's Technology Services Partner providing consulting and technology auditing services to his clients. He assists his clients by working to improve and increase the use of technology in their business. He reviews and evaluates many different aspects of clients' current use of technology and consults with them to help improve their success.

Prior to joining Strothman and Company, Bob held the position of Financial Auditor and moved into the position of EDP Auditor for the First Bank of Louisville where he developed the first comprehensive review of the technology department. He was responsible for performing program testing of bank applications. After leaving the bank Bob served more than 20 years as the Director of Global Risk Management Services for PricewaterhouseCoopers, LLP (formerly Coopers & Lybrand), where he was responsible for the delivery of risk management and IT Audit services in the Kentuckiana area.

Bob has had significant involvement in both local and national community and professional organizations. In 1977 Bob helped to form the EDP Auditor's Association Chapter in Kentucky (Now called ISACA). He also served on the ISACA International Board of Directors for several years during the mid 1990s. He has also been involved in several technology groups including the IS Management Association along with several others.

Bob grew up in Louisville, Kentucky with three brothers. Growing up in a family with a handicapped sibling, Bob has always been conscious about how easy it is to take the simple things in life for granted. Bob currently serves as Treasurer for the Council on Mental Retardation which advocates for the rights of the mentally handicapped. Bob resides in Louisville, Kentucky with his wife Delores and their three children, Stephanie, Robby and Emily.

Securing and Auditing Active Directory

by MIS Training Institute (MISTI)

WHEN:

February 27 – 28, 2007 (8 am to 5 pm both days)

INSTRUCTOR: Randy Franklin Smith, SSCP, CISA

LOCATION: Marriott Downtown (280 West Jefferson Street, Louisville, KY, 502.627.5045)

WHO SHOULD ATTEND: IT Auditors and Consultants; Information Security Professionals; System and Network Administrators

CPE: 15 hours

COST: \$295 for members signed up and pre-paid by January 31, 2007; \$345 for non-members and all registrants after January 31, 2007

[Register Now Online!](#)

REGISTRATION:

via the Kentuckiana ISACA Chapter website:
<http://www.isauditor.net/isaca/metsem/meetsem.htm>
or e-mail kyisaca@isauditor.net

Mark your calendar and sign up ASAP for this two-day course on Active Directory because **space is limited** and these spots will go fast. In this seminar you will gain the skills you need to perform a detailed audit of an Active Directory network. The agenda for this course includes the following topics (detailed course description on next page):

- Active Directory Structure
- Active Directory Security
- Administrative Authority in Active Directory
- Relating Active Directory to Windows Server
- Centralized Security Configuration with Group Policy
- Audit Planning and Scope
- Collecting Evidence
- Analyzing Evidence

For both days of this seminar, lunch is included and will be provided to you. In addition, **you will receive a 250-page course manual to keep and a CPE certificate of completion to evidence your attendance.**

Securing and Auditing Active Directory

by MIS Training Institute (MISTI)

COURSE DESCRIPTION

This seminar will provide you with proven strategies for maximizing the results of your Windows audits. You'll be guided through each step of the review, from scope and planning through evidence extraction and analysis, to writing up your findings. You'll learn how to collect as much information as possible from the network so you don't have to rely on interview questions, and learn how to efficiently determine which computers in the network should be reviewed. You will compare the differences between Active Directory running on Windows Server 2000 and 2003 domain computers, find out about the new features in each version, and learn how to modify your methodology accordingly. You will discover secrets for quickly extracting the evidence you need without wearing out your computer's print-screen button or monopolizing your administrator's time. You'll determine which controls are important to review at each level, starting with forests down through trees to domains, domain-controllers and finally member servers. You'll cover time-saving tips, including which kinds of trust relationships are important to assess and which aren't; which pieces of evidence you need to extract for each domain controller; and which you can extract from just one. You'll learn how to use resource kit utilities, shareware programs, as well as how to analyze results and identify risks. You'll master techniques for assessing administrative authority in AD; identifying arcane risks associated with the forest root domain; and assessing forest, tree domain, organizational unit structure, and group policy. You will gain an understanding of how Active Directory relates to Windows Server security and how AD's Group Policy technology makes it possible to control Windows Server security settings centrally. You will learn how to determine whether crucial best practice techniques were followed in the design of your organization's forests and domains. You'll discover crucial features of Active Directory's monitoring capabilities that facilitate compliance with Sarbanes-Oxley requirements. In this detailed seminar you will discover how to tell if your administrators are really reviewing security logs as often as they say they are and get pointers on detecting lax account management.

Securing and Auditing Active Directory

COURSE INSTRUCTOR BIO

Randy Franklin Smith, president of Monterey Technology Group, is an internationally known speaker and writer on Windows, Active Directory and Internet Information Server security topics. Mr. Smith serves as contributing editor for Windows IT Pro and as technical editor for Security Administrator. The winner of the APEX Award of Excellence for his writing, his articles have also appeared in Information Security Magazine. He is the primary instructor and course developer for MIS Training Institute's Windows platform security programs. Mr. Smith also performs security reviews for clients ranging from small, privately held firms, to Fortune 500 companies. In addition, he regularly trains internal audit staff and "Big 4" accounting firm consultants on how to audit Windows 2000 and Active Directory. He is a member of the ISSA, ISACA, Technology Association of Georgia, and Center for Internet Security, and was recently granted Microsoft MVP status.

[Register Now Online!](#)

by MIS Training Institute (MISTI)

CONTACT

For any questions regarding this event, please e-mail kyisaca@isauditor.net. Alternatively, you may contact Matt Smith at 502.774.7236.

CANCELLATION POLICY

No refunds will be offered for cancellations received after January 31, 2007. To cancel, you must notify Kentuckiana ISACA in writing in advance. Any cancellation before February 1, 2007 is entitled to a full refund and will not incur any fee or penalty. In addition, the Kentuckiana ISACA Chapter reserves the right to cancel this course at anytime. In the event the Kentuckiana ISACA Chapter cancels the course, the Chapter is only obligated to refund the course registration fee.

ISACA Calendar of Events

RSVP: kyisaca@isauditor.net

<u>Date:</u>	<u>Topic/Speaker:</u>
9/29/2006	Enterprise Wide SOD, Andrew Tanner (Ernst & Young)
11/17/2006	IT Strategy for Mergers and Acquisitions, Bill Baldwin (Humana, Inc.)
11/18/2006-11/19/2006	CISM Review Course
12/12/2006	Presentation Skills for Auditors, Michael Vincent (Humana, Inc.)
01/09/2007	Technology Risks – The Game has Changed, Robert Boyle (Strothman & Company)
02/16/2007	Enterprise Risk Management (ERM) Jimmy Parker (E&Y)
02/27/2007 – 02/28/2007	Securing and Auditing Active Directory by MIS Training Institute

Next Meeting: (01/09/2007)

Technology Risks - The Game Has Changed

Robert Boyle, Strothman & Company

As technology continues to play an ever increasing role in our lives, the risks related to technology require that we take a new look at how we are address these new risks. Networks have made it possible to work from home or on the road, however that same ability also puts our information assets at greater risk. Other risks that technology has brought are phishing, hacking and identity theft, just to name a few. New auditing requirements are needed, and are being developed to address these and other risks that result. Bob's presentation will attempt to address what the role of the auditor should be to limit risk in this world of ever-increasing technology.



PayPal is a quick and simple way to pay for monthly ISACA meetings. From the chapter web site ([go to the meeting and seminar schedule page](#)). Click the “pay now” button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount; enter your log-in and password. If you don't, creating an account is easy!

Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Kentucky ISACA web site. The local chapter also receives an e-mail that tells us you have paid.

You will email kyisaca@isauditor.net with your menu choice. All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

News and Information

Want to know what is happening with ISACA International? Click on this [link](#) and you will be taken to the News and Information Page. Here you will find articles and press releases. Additionally, you can also learn more about becoming CISA certified or how to register for conferences.

Do you have something you would like to post in our newsletter? Submit an email to: Benjamin Thomas (bdthomas@rescare.com).

Please also include a title for your article along with the verbiage. Language in the articles is subject to editing for appropriateness.

PCAOB: Board Proposes Revised Auditing Standard on Internal Control over Financial Reporting

December 19th 2006 - The Public Company Accounting Oversight Board today voted unanimously to propose for public comment a new standard on auditing internal control over financial reporting and other related proposals. The proposed standard would replace the Board's existing internal control standard, Auditing Standard No. 2.

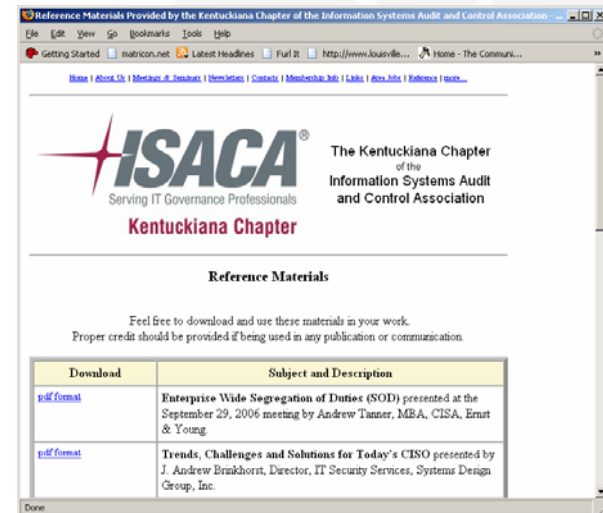
The proposed new standard on internal control is a principles-based standard designed to focus the auditor on the most important matters, increasing the likelihood that material weaknesses will be found before they cause material misstatement of the financial statements. The proposed standard also eliminates audit requirements that are unnecessary to achieve the intended benefits, provides direction on how to scale the audit for a smaller and less complex company, and simplifies and significantly shortens the text of the standard.

[Read More](#)

Chapter Website

Our award winning site contains chapter general information, career opportunities, past newsletters, contact information, reference material and more.


<http://www.isauditor.net/isaca>



Reference Materials Provided by the Kentuckiana Chapter of the Information Systems Audit and Control Association

File Edit View Go Bookmarks Tools Help
Getting Started | mtrincor.net | Latest Headlines | Full IT | http://www.isauditor.net | Home - The Commu...

Home | About Us | Mission & Values | Services | Contact | Membership Info | Links | Adm. Info | Exhibits | News...

 **ISACA**[®]
Serving IT Governance Professionals

The Kentuckiana Chapter
of the
Information Systems Audit
and Control Association

Kentuckiana Chapter

Reference Materials

Feel free to download and use these materials in your work.
Proper credit should be provided if being used in any publication or communication.

Download	Subject and Description
pdf format	Enterprise Wide Segregation of Duties (SOD) presented at the September 29, 2006 meeting by Andrew Tanner, MBA, CISA, Ernst & Young
pdf format	Trends, Challenges and Solutions for Today's CISO presented by J. Andrew Brukhhorst, Director, IT Security Services, Systems Design Group, Inc.

Done

Career Opportunities

The KY ISACA Chapter strongly believes in providing a medium through which members can network and grow professionally. To that end, the KY ISACA chapter offers a web page for job opportunities to our members and to the community. If you are interested in posting job opportunities on our website, please contact kyisaca@isauditor.net for more information.

Current career opportunities posted are located on our [Louisville Area Job Openings Page](#).

Scheduled Events

The KY Chapter of ISACA is aware that scheduling conflicts can occur at the last moment. Unfortunately, due to the nature KY ISACA events, our chapter is often charged for lunches even if respondents are unable to attend.

If a participant to one of our events originally states that they can attend and prepay; but are then unable to fulfill that obligation, the prepaid lunch cost cannot be refunded unless at least a 48 hour notice is provided by the participant. Please be aware of this new requirement when choosing to attend one of the many KY ISACA functions.

Policy for Posting Positions:

There have been several inquiries from various parties regarding the KY Chapter of ISACA and posting job inquiries. Below are the requirements as outlined within KY ISACA Board meeting Minutes:

Members

- Free in 1 month's newsletter
- Free for 30 days on KY ISACA website
- Member is responsible for renewing posting on a monthly basis

Non-Members

- \$50 for 1 month's newsletter AND 30 days on KY ISACA website
- \$50 for each additional month

Enterprise Risk Management (ERM) Jimmy Parker (E&Y)

February 16th 2007 ISACA Meeting – Don't miss it!

Brief Bio

Jimmy Parker is the Enterprise Risk Management (ERM) Champion for Ernst & Young's North Central Area. Jim is a Senior Manager in the Business Risk Services practice of Ernst & Young's Detroit, Michigan office and currently leads enterprise risk management projects, internal audit engagements and Sarbanes-Oxley Section 404 readiness efforts for SEC clients. Prior to his current position with Ernst & Young, Jim was a Manager with the Internal Audit Services group within the Enterprise Risk Services practice of a Big 4 firm in Chicago, Illinois, specializing in internal auditing, systems auditing and risk management for manufacturing, financial services and public sector clients. He also conducted control self-assessment workshops and performed quality assurance reviews. Jim presently facilitates the Enterprise Risk Management course for the Institute of Internal Auditors.

Jim holds a Bachelor of Science degree in Accounting from the University of Maryland (Summa Cum Laude) and a Master of Business Administration degree from the University of North Carolina at Wilmington. He is a Certified Public Accountant, Certified Internal Auditor, Certified Management Accountant, Certified in Financial Management, Certified in Control Self-Assessment and a Certified Information Systems Auditor candidate (test passed).

Overview of topic

Enterprise Risk Management (ERM) can be defined as an organization's competency to manage uncertainty, more effectively minimizing threats and maximizing opportunity. ERM is characterized by systematic management practices to assess and monitor risk, and improve the way that risk is managed - supported and enabled by the appropriate risk management framework. A practical approach to ERM will be presented that focuses more on leveraging a company's existing infrastructure over creating a new one. This approach is more likely to produce early success and value, and help increase the chances for broad acceptance and support for risk-focused initiatives into the future.

**Be sure to mark this event
on your calendar!**

February 16th 2007 ISACA Meeting

IIA Calendar of Events

<u>Date:</u>	<u>Topic/Speaker:</u>
12/12/2006	Presentation Skills for Auditors
01/09/2007	Technology Risks – The Game has Changed, Robert Boyle (Strothman & Company)
02/13/2007	Corporate Governance
03/13/2007	Credit Card/Purchasing Card Fraud
04/10/2007	Standards Refresher Professional Practices Framework
05/08/2007	Diversity on the Audit Team

Louisville Chapter of Institute of Internal Auditors

The Louisville Kentucky Chapter of the Institute of Internal Auditors has approximately 140 members representing a wide range of businesses in Kentucky and Southern Indiana. The Chapter is a not-for-profit organization that was established to provide its members a means by which they may enhance their knowledge of Internal Auditing and share information.

<http://www.ialou.org/>



Getting Started

1. Create an online professional profile
2. Link to other professionals you already know
3. Find others you would like to get introduced to
4. Invite others to join LinkedIn

<http://www.linkedin.com>

How to Expand Your Professional Network

One of the most common reasons professionals join organizations such as ISACA are the benefits of member networking. Whether you're seeking advice from those with greater experience, opportunities to further develop knowledge and skills, or looking to apply for or fill a new position, a strong professional network is the best path to success.

In the past, networking involved exchanging business cards, following up with phone calls and emails, and occasionally meeting in person at chapter meetings and other events. While all of these steps are necessary to build a relationship, it stops short of guaranteeing that you'll stay in contact the rest of your career.

LinkedIn is a tool to help you manage your professional network. It serves as a place to document "connections" as well as make and receive introductions to other professionals. It works on the 6-degrees of separations principle allowing you to view the profiles of others and get introduced to your existing network's immediate contacts. Rather than just getting to know others casually through conventional means, accelerate that process now!

If you are interested in joining LinkedIn and documenting your professional network, please contact Ben Thomas bdthomas@rescare.com I will send you a LinkedIn invitation, which will help you begin creating your professional profile.

Chapter Officers

<u>Officer</u>	<u>Position</u>	<u>E-mail</u>
Matthew Smith	President	matthew_smith@b-f.com
Bruce Edwards	VP & Web master	Bruce@BruceEdwards.com
Michael Vincent	VP Programming	mvincent@humana.com
Diane Kissel	Secretary	diane.kissel@kindredhealthcare.com
Bob Boyle	Treasurer	rboyle@strothman.com
James Rose	Standards Liaison	jrose1@humana.com
Dave Barker	Academic Liaison	dfbark01@louisville.edu
Melissa Perry	CISA Coordinator	melissaperrycpa@yahoo.com
Bill Bonny	Membership Director	Bill.Bonny@ajilonfinance.com
Benjamin Thomas	VP & Newsletter	bdthomas@rescare.com