

## Next Meeting:

**March 16<sup>th</sup> 2007 (RSVP by 3/14/2007)**

11:30 Sign-in and Networking;  
12:00-1:00 Lunch and Presentation

**Speaker: Scott Hayes, Database Brothers**

**Topic: Database Auditing and Compliance**

**Location: Masterson's ([Click for directions](#))  
1830 S 3rd St, Louisville, KY  
(502) 636-2511**

**Cost: \$20 (1 CPE), Students \$5**

Payment can be made at the time of the luncheon meeting or in advance via PayPal

[ISACA Chapter Website](#)

Please **RSVP before close of business on 3/14/2007** to [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net)

*If you have special dietary requirements please let us know when you RSVP*

## Newsletter

The purpose of this newsletter is to provide a medium by which the Kentuckiana ISACA members can share auditing information, enable new members to establish a professional network, provide valuable career opportunities to members, and keep membership updated on the industry trends.

[Print Newsletter](#)

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## **PRESIDENT'S MESSAGE**

**FROM THE DESK OF MATTHEW SMITH**

Greetings,

We had a great turnout for our last meeting in February at Masterson's. Thank you to Jimmy Parker from Ernst & Young who spoke to us about Enterprise Risk Management (ERM). Jimmy is quite an expert in this field, so we really appreciate his time and effort in presenting to our group. Also, I was very happy to see some students from local Universities were able to attend. I felt like our efforts to get more students involved in the Chapter and our profession are already paying dividends.

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## **PRESIDENT'S MESSAGE (CONTINUED)**

I would also like to share with you some feedback regarding the "Securing and Auditing Active Directory" course we hosted at the end of February. We had a full house - every seat in the class was taken. I was very pleased with the turnout, but also felt really bad that I had to turn a few of you away due to filling all the spots. So register early next time! I got some very positive comments regarding the speaker and his knowledge of the subject matter. Overall, I felt like the event was a huge success. Based on this experience and the interest it generated, I'd like to work with the Chapter Board to continue exploring options for local training events in the future. If there is a subject that you feel strongly about, don't ever hesitate to let someone on the Board know what would help you or your organization out.

Our next speaker will be Scott Hayes from Database Brothers on March 16th. The topic for his presentation is Database Auditing and Compliance. I hope to see you there!

In closing, I hope you all had a nice Valentine's Day and you are gearing up for St. Patrick's Day - it will be here before you know it. Take care.

Regards,

*Matthew Smith*

## **ISACA Online Articles**

### **Features**

[Achieving Privacy Through Security Measures](#)

[Continuous Auditing From a Practical Perspective](#)

[Developing Metrics for Effective Information Security Governance](#)

[Dispelling and Construction of Social Accounting in View of Social Audit](#)

[ERP Security and Segregation of Duties Audit: A Framework for Building an Automated Solution](#)

**ISACA Login Required**



## Speaker Bio:

**Scott Hayes**  
Database Brothers



Scott Hayes is a well known DB2 UDB performance expert. He is a regular speaker at International DB2 User Group conferences, IBM DB2/Data Management conferences, and is frequently sought as a guest speaker for regional DB2 user group meetings. Scott has authored several published articles on DB2 UDB performance, is an IBM DB2 GOLD Consultant (an elite, exclusive group of IBM recognized top DB2 advocates), has obtained Advanced IBM DB2 Certifications, and is widely regarded by the worldwide DB2 community as the top performance expert for DB2 on distributed (UNIX, Linux, and Windows) platforms. Scott graduated from [SUNY Geneseo](#) with a Management Science Major and Computer Science Minor, and – as he was recently reminded during a conference presentation - *he is*, not was, an [Eagle Scout](#).

Scott started [Database-GUYS Inc \(DGI\)](#) in May of 1998 as a DB2 UDB performance consulting company. As clients quickly embraced his methods, metrics, techniques, teachings, and tools, DGI was transformed into a software company providing best of breed tools (Wise-GUY™, Space-GUY™, Pool-GUY™, SQL-GUY™, Connection-MINER™, and Flight Deck for DB2 UDB – now owned by BMC Software) and consulting services for DB2 UDB. This company was sold to [BMC Software](#) on June 20, 2003.

During his two year tenure at BMC Software, Scott was Director of Product Marketing and continued to provide field consulting services, training, education, and sales assistance for BMC customers. Scott also contributed ideas to the BMC Software development team to ensure that SmartDBA® for DB2 UDB had valuable features and functions.

In July 2005, Scott started Database-Brothers Inc (DBI) to enable him to optimally serve the DB2 UDB and Oracle database communities with deeply focused attention. And, as he'll tell you at conferences, he likes signing his own expense reports too.

## **ISACA Calendar of Events**

RSVP: [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net)

<u>Date:</u>	<u>Topic/Speaker:</u>
9/29/2006	Enterprise Wide SOD, Andrew Tanner (Ernst & Young)
11/17/2006	IT Strategy for Mergers and Acquisitions, Bill Baldwin (Humana, Inc.)
11/18/2006-11/19/2006	CISM Review Course
12/12/2006	Presentation Skills for Auditors, Michael Vincent (Humana, Inc.)
01/09/2007	Technology Risks – The Game has Changed, Robert Boyle (Strothman & Company)
02/16/2007	Enterprise Risk Management (ERM) Jimmy Parker (E&Y)
02/27/2007 – 02/28/2007	Securing and Auditing Active Directory by MIS Training Institute
<b>03/16/2007</b>	<b>Database Auditing and Compliance, Scott Hayes (Database Brothers)</b>
04/20/2007	The Convergence of Enterprise Risk and Regulatory Controls, (Shavlik Technologies)
05/11/2007	Spreadsheet Compliance, Paul Hinkens (Mobius)

**Next Chapter Meeting: 3/16/2007**  
(RSVP to [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net) by 3/14/2007)

**Topic:**  
Database Auditing and Compliance

**Speaker:**  
Scott Hayes, Database Brothers

**ATTENTION:**

If you RSVP for a meeting but do not attend, you are still responsible for reimbursing the Chapter for the meal.

## Continuous Auditing: Making the Change

A new perspective on control evaluation and monitoring

### WHEN:

May 14 – 15, 2007 (8 am to 5 pm both days)

**INSTRUCTOR:** Stuart Holoman

**LOCATION:** To be determined.

### WHO SHOULD ATTEND:

(Intermediate Level)

Information Technology, Financial, and Operational Auditors; business executives with technical experience who want to understand continuous auditing

**CPE:** 15 hours

**COST:** \$400

For additional information, contact Connie Davis at [davisc@msdky.org](mailto:davisc@msdky.org)

### Continuous Auditing Seminar Overview:

Continuous auditing has been viewed as the "future of audit" for decades. Finally coming to fruition, it is considered by many today as a way to address compliance with the U.S. Sarbanes Oxley Act of 2002, particularly Section 409's real-time disclosure requirements of complete and accurate current information. However, actually changing the audit process from a historic review activity to a continuous, current basis requires a fundamental shift in the audit department's approach to its audits.

In this two-day seminar you will cover continuous auditing, its conceptual framework, and the areas you must consider when implementing this approach. You will learn the differences between continuous monitoring and continuous auditing and review the viability of these techniques. You will gain an understanding of the increasing need for available, reliable, timely data; investigate internal control assessment and audit's role; and benefit from examples of areas that might be the best places to start. You will discover how continuous auditing can help achieve compliance with internal control reporting and other aspects of Sarbanes-Oxley. You will explore how improving definition and retrieval of data will enhance the way you conduct your audits, and examine automated audit procedures, information system requirements, key controls, reporting, and tools and techniques that will help take your audits to the next level.



**PayPal** is a quick and simple way to pay for monthly ISACA meetings. From the chapter web site ([go to the meeting and seminar schedule page](#)). Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount; enter your log-in and password. If you don't, creating an account is easy!

Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Kentucky ISACA web site. The local chapter also receives an e-mail that tells us you have paid.

All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

### **Nissan North America, Inc. Audit Positions**

Nissan North America, Inc. has openings for IT and Financial Auditors to work in the Japan SOX Project Department. These positions, which will be located at the corporate headquarters in Nashville, TN, are at the consultant-level (7+ years of experience) and specialist-level (3+ years of experience). For more information, please [visit the Nissan North America, Inc. website](#), click on Nissan Talent Gateway and search openings using the key word J-SOX. Nissan is committed to a Diversified Workforce and is proud to be an Equal Opportunity Employer.

## **News and Information**

Want to know what is happening with ISACA International? Click on this [link](#) and you will be taken to the News and Information Page. Here you will find articles and press releases. Additionally, you can also learn more about becoming CISA certified or how to register for conferences.

Do you have something you would like to post in our newsletter? Submit an email to: Benjamin Thomas ([bdthomas@rescare.com](mailto:bdthomas@rescare.com)).

Please also include a title for your article along with the verbiage. Language in the articles is subject to editing for appropriateness.



An iPod Shuffle will be given away at the end of the next meeting on 3/16/2007. RSVP to [kvisaca@isauditor.net](mailto:kvisaca@isauditor.net) by 3/14/2007

**Sponsored by Database Brothers**

## The IIA Releases GAIT

### Guide to the Assessment of IT General Controls Scope Based on Risk

GAIT is a set of Principles and related Methodology that facilitates the cost-effective scoping of IT general control assessments. The IIA developed GAIT to help organizations identify key IT general controls where a failure might indirectly result in a material error in a financial statement. More specifically, GAIT enables management and auditors to identify key IT general controls as part of and as a continuation of the company's top-down, risk-based scoping efforts for Section 404 compliance.

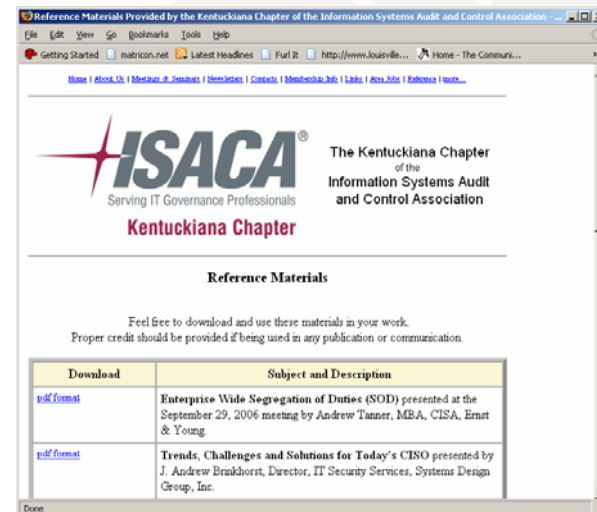


[Download Now](#)  
GAIT Methodology PDF

## Chapter Website

Our award winning site contains chapter general information, career opportunities, past newsletters, contact information, reference material and more.

<http://www.isauditor.net/isaca>



Reference Materials Provided by the Kentuckiana Chapter of the Information Systems Audit and Control Association

ISACA®  
Serving IT Governance Professionals  
Kentuckiana Chapter

The Kentuckiana Chapter of the Information Systems Audit and Control Association

Reference Materials

Feel free to download and use these materials in your work. Proper credit should be provided if being used in any publication or communication.

Download	Subject and Description
<a href="#">pdf format</a>	Enterprise Wide Segregation of Duties (SOD) presented at the September 29, 2006 meeting by Andrew Tanner, MBA, CISA, Ernst & Young
<a href="#">pdf format</a>	Trends, Challenges and Solutions for Today's CISO presented by J. Andrew Brukhorst, Director, IT Security Services, Systems Design Group, Inc.

## **IIA Calendar of Events**

<b>Date:</b>	<b>Topic/Speaker:</b>
12/12/2006	Presentation Skills for Auditors
01/09/2007	Technology Risks – The Game has Changed, Robert Boyle (Strothman & Company)
02/13/2007	Business Continuity and Disaster Recovery
03/13/2007	Credit Card/Purchasing Card Fraud
04/10/2007	QAR
05/08/2007	Diversity on the Audit Team



### **Louisville Chapter of Institute of Internal Auditors**

The Louisville Kentucky Chapter of the Institute of Internal Auditors has approximately 140 members representing a wide range of businesses in Kentucky and Southern Indiana. The Chapter is a not-for-profit organization that was established to provide its members a means by which they may enhance their knowledge of Internal Auditing and share information.

<http://www.ialou.org/>



## Getting Started

1. Create an online professional profile
2. Link to other professionals you already know
3. Find others you would like to get introduced to
4. Invite others to join LinkedIn

<http://www.linkedin.com>

## How to Expand Your Professional Network

One of the most common reasons professionals join organizations such as ISACA are the benefits of member networking. Whether you're seeking advice from those with greater experience, opportunities to further develop knowledge and skills, or looking to apply for or fill a new position, a strong professional network is the best path to success.

In the past, networking involved exchanging business cards, following up with phone calls and emails, and occasionally meeting in person at chapter meetings and other events. While all of these steps are necessary to build a relationship, it stops short of guaranteeing that you'll stay in contact the rest of your career.

LinkedIn is a tool to help you manage your professional network. It serves as a place to document "connections" as well as make and receive introductions to other professionals. It works on the 6-degrees of separations principle allowing you to view the profiles of others and get introduced to your existing network's immediate contacts. Rather than just getting to know others casually through conventional means, accelerate that process now!

If you are interested in joining LinkedIn and documenting your professional network, please contact Ben Thomas [bdthomas@rescare.com](mailto:bdthomas@rescare.com) I will send you a LinkedIn invitation, which will help you begin creating your professional profile.

## *Chapter Officers*

<u>Officer</u>	<u>Position</u>	<u>E-mail</u>
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