

## Next Meeting:

**April 20<sup>th</sup> 2007 (RSVP by 4/18/2007)**

11:30 Sign-in and Networking;  
12:00-1:00 Lunch and Presentation

**Speaker:** Collin Wayne Buechler,  
Shavlik Technologies LLC

**Topic:** The Convergence of Enterprise Risk  
and Regulatory Controls

**Location:** Masterson's ([Click for directions](#))  
1830 S 3rd St, Louisville, KY  
(502) 636-2511

**Cost:** \$20 (1 CPE), Students \$5

Payment can be made at the time of the luncheon meeting or  
in advance via PayPal

[ISACA Chapter Website](#)

Please **RSVP before close of business on 4/18/2007** to  
[kyisaca@isauditor.net](mailto:kyisaca@isauditor.net)

*If you have special dietary requirements please let us know  
when you RSVP*

## Newsletter

The purpose of this newsletter is to provide a medium by which the Kentuckiana ISACA members can share auditing information, enable new members to establish a professional network, provide valuable career opportunities to members, and keep membership updated on the industry trends.

[Print Newsletter](#)  
[Exit Full Screen View](#)

## **PRESIDENT'S MESSAGE**

### **FROM THE DESK OF MATTHEW SMITH**

Greetings,

Our last meeting was held in March at Masterson's and we heard from Scott Hayes of Database Brothers. His presentation was very good and I know many of you found it to be very helpful. Thank you again to Scott for his time and effort.

The next Chapter meeting will be on April 20th and we will be hearing from Shavlik Technologies on the "Convergence of Enterprise Risk and Regulatory Controls" at Masterson's. Please join us for this event – we are really looking forward to hosting a recognized leader in network security products and technology solutions.

[Continued on Page 2](#)

## **PRESIDENT'S MESSAGE (CONTINUED)**

Please note that we have initiated the annual process of seeking nominations for the Kentuckiana ISACA Chapter Board of Directors. If you, or someone you know, would be a good candidate for serving on the Chapter Board, please let us know ASAP. There are a wide variety of positions available – they are further described in this newsletter. Serving on the Board is not only an excellent achievement to place on your resume, it is a way to give back to the IT audit/security profession through community service. Without the efforts of our Chapter Board, it would be impossible to provide you with the quality and number of events that we put on each year. So help out, and join the Board for the 2007/2008 Chapter year.

It is also that time of year for our annual CISA review course. A special announcement was sent out in March to provide you with the details, and they are also included in this newsletter. If you are already registered for the CISA exam, or you are thinking about taking a shot at it, do yourself a favor and participate in this review course. A number of our Chapter members have participated in the review course in the past, and found it very helpful – many went on to succeed in passing the exam. We are bringing in an expert instructor for this weekend course, so take advantage of this resource by signing up today.

Just as an FYI, the registration deadline for taking the CISA and the CISM exam is April 11th. Get moving if you plan to take it, and have not registered yet! I look forward to seeing you at our next Chapter meeting on April 20th at Masterson's. Thank you.

Regards,

*Matthew Smith*

## **ISACA Online Articles**

### **Features**

[Information Security Governance: Who Needs It?](#)

[What Every IT Auditor Should Know About Auditing Information Security](#)

[Securing Value: Treasure Buried in Business Cases](#)

[Improving Regulatory Compliance: How to Make Content Protection Controls Effective](#)

[The Unique Benefits and Risks of USB Mass Storage Devices](#)

**ISACA Login Required**

## The Convergence of Enterprise Risk and Regulatory Controls

With the majority of U.S. corporations now facing the escalating costs associated with compliance with federal, state and Industry regulations, it is important for organizations to look into how they manage risk vs compliance with specific regulations. Simply complying with regulations does not properly ensure security over confidential and proprietary information. Restructuring an organization's Risk Management strategy around an established security program will help cut costs associated with regulatory compliance and help ensure a easier and cleaner review from external auditors. Another benefit of adopting a security standard is the subtle change in corporate culture which brings Information Security from the background and integrates it into the daily life of all employees.

It is the third party consultant's job to help the client embrace adopting a security standard in order to improve overall risk management and understanding concerning Information Security, and as a side effect of this process become compliant with the regulations over their industry.



Shavlik Technologies, LLC is a recognized leader in network security products and technology solutions used worldwide. In addition, Shavliks Professional Security Services provide security risk assessment and recommendations for enterprise network environments.

### About Speaker:

**Collin Wayne Buechler, Shavlik Technologies LLC**

Collin Wayne Buechler, a senior consultant with Shavlik Technologies LLC's Enterprise Risk Management Group, specializes in enterprise risk management solutions, business risk assessment, and regulatory and technical reviews of information security. Collin is well versed in SAS70 third-party audits, PCI, GLBA, HIPAA, FERPA and Sarbanes-Oxely compliance efforts. He specializes in consulting services within the Financial and Public Utilities Industries.

**Next Chapter Meeting: 4/20/2007**

(RSVP to [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net) by 4/18/2007)



## **ISACA Calendar of Events**

RSVP: [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net)

<b>Date:</b>	<b>Topic/Speaker:</b>
9/29/2006	Enterprise Wide SOD, Andrew Tanner (Ernst & Young)
11/17/2006	IT Strategy for Mergers and Acquisitions, Bill Baldwin (Humana, Inc.)
11/18/2006-11/19/2006	CISM Review Course
12/12/2006	Presentation Skills for Auditors, Michael Vincent (Humana, Inc.)
01/09/2007	Technology Risks – The Game has Changed, Robert Boyle (Strothman & Company)
02/16/2007	Enterprise Risk Management (ERM) Jimmy Parker (E&Y)
02/27/2007 - 02/28/2007	Securing and Auditing Active Directory by MIS Training Institute
03/16/2007	Database Auditing and Compliance, Scott Hayes (Database Brothers)
<b>04/20/2007</b>	<b>The Convergence of Enterprise Risk and Regulatory Controls, (Shavlik Technologies)</b>
05/11/2007	Spreadsheet Compliance, Paul Hinkens (Mobius)
05/12/2007- 05/13/2007	CISA Review Course

**Topic:**

The Convergence of Enterprise Risk and Regulatory Controls

**Next Chapter Meeting: 4/20/2007**

(RSVP to [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net) by 4/18/2007)

**Speaker:**

Collin Wayne Buechler, Shavlik Technologies



# Kentuckiana Chapter Newsletter

Issue 7 - April 2007



**PayPal** is a quick and simple way to pay for monthly ISACA meetings. From the chapter web site ([go to the meeting and seminar schedule page](#)). Click the "pay now" button for the meeting you wish to pay for. If you already have a "PayPal" account, simply verify the amount; enter your log-in and password. If you don't, creating an account is easy!

Once you have completed the transaction, you will be sent an e-mail receipt by PayPal and be returned to the Kentucky ISACA web site. The local chapter also receives an e-mail that tells us you have paid.

All information is exchanged via HTTPS protocol (secure and encrypted) and remains with PayPal.

## **ATTENTION:**

If you RSVP for a meeting but do not attend, you are still responsible for reimbursing the Chapter for the meal.

## **News and Information**

Want to know what is happening with ISACA International? Click on this [link](#) and you will be taken to the News and Information Page. Here you will find articles and press releases. Additionally, you can also learn more about becoming CISA certified or how to register for conferences.

Do you have something you would like to post in our newsletter? Submit an email to: Benjamin Thomas ([bdthomas@rescare.com](mailto:bdthomas@rescare.com)).

Please also include a title for your article along with the verbiage. Language in the articles is subject to editing for appropriateness.



# Kentuckiana Chapter Newsletter

Issue 7 - April 2007

## CISA Review Course

Sponsored by: ISACA Kentuckiana Chapter

### WHEN:

May 12th – 13th, 2007 (9 am to 6 pm both days)  
*In weekend cram-style format*

**INSTRUCTOR:** Jay Ranade, CISA, CISM, CISSP,  
CBCP

**LOCATION:** Hyatt Regency Louisville, 320 West  
Jefferson, Louisville KY 40202, (502) 581-1234 [View  
Details](#)

**WHO SHOULD ATTEND:** Anyone interested in CISA  
Certification.

**CPE:** 16 hours

**COST:** ISACA Members: \$425, Non-Members \$475, Full-  
time Students \$250. **Register by April 27<sup>th</sup> and receive a  
\$50 discount!**

**[Register Now Online!](#)**

### REGISTRATION:

via the Kentuckiana ISACA Chapter website:

<http://www.isauditor.net/isaca/metsem/meetsem.htm>  
or e-mail Melissa Perry at [melissaperrycpa@yahoo.com](mailto:melissaperrycpa@yahoo.com)

Fees include: Breakfast, lunch and afternoon snack for both  
days. Special meals are available upon request. Fee also  
includes validated parking for the Hyatt garage only.

### Course Material

The course will use ISACA provided presentation materials.  
Hard copies will be provided to the students. The instructor will  
also provide his own copyrighted material which consists of  
1200 one-line CISA exam Axioms which greatly enhance  
success rate for the exam.

The instructor **recommends that students also purchase the  
following materials** available from the ISACA bookstore:

Certified Information Systems Auditor CISA Review Manual  
2007 English Edition

CISA Practice Question Database v7 English Edition (web site  
download) or CISA Practice Question Database v7 English  
Edition (CD-ROM)

These materials are available through the ISACA International  
Bookstore at [www.isaca.org](http://www.isaca.org).

## **COURSE INSTRUCTOR BIO**

Jay is an internationally renowned expert on computers, communications, disaster recovery, IT Security, and IT controls. He has written and published more than 35 IT-related books on various subjects ranging from networks, security, operating systems, languages, and systems. He also has an imprint with McGraw-Hill with more than 300 books called "Jay Ranade Series". He has written and published articles for various computer magazines such as Byte, LAN Magazine, and Enterprise Systems Journal. The New York Times critically acclaimed his book called the "Best of Byte". He is currently working on a number of books on various subjects such as IT Audit, IT Security, Business Continuity, and IT Risk Management.

Jay has consulted and worked for Global and Fortune 500 companies in the US and abroad including American International Group, Time Life, Merrill Lynch, Dreyfus/Mellon Bank, Johnson and Johnson, Unisys, McGraw-Hill, Mobiltel Bulgaria, and Credit Suisse. He is a member of the ISACA International's Publications Committee"

## **CISA Review Course**

Sponsored by: ISACA Kentuckiana Chapter

### **CONTACT**

If you have any questions regarding this event, please e-mail Melissa Perry at [melissaperrycpa@yahoo.com](mailto:melissaperrycpa@yahoo.com)

### **CANCELLATION POLICY**

No refunds will be offered for cancellations received on or after April 28, 2007. However, your fee can be rolled over to a future event by the chapter or to another individual. To cancel, you must notify Kentuckiana ISACA in writing in advance. Any cancellation before or on April 27, 2007 is entitled to a full refund and will not incur any fee or penalty.

**[Register Now Online!](#)**

## **Nominations now being accepted for KY ISACA Officers**

The Kentucky Chapter of ISACA is currently accepting nominations for officer positions. On the next two pages, you will see descriptions and responsibilities for each of the positions. If you or someone you would like to nominate would be interested in a board position, please send an email to [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net)

**Please submit your nomination by May 3rd 2007.**

### **ISACA Officer Descriptions**

Position Descriptions are noted on the next 3 pages. It is not uncommon for someone to hold two positions, such as Vice President and Web Master, so if you are interested in more than one position, please make that known. All members are encouraged to participate.

Below are a list of positions and requirements for the ISACA board members. Each year, the ISACA board works hard to affect positive change and maintain the level of excellence that is expected from ISACA. Special thanks to all of the members of our board who strive for excellence and who volunteer their time to serve.

## **The Chapter President shall:**

- Preside at all meetings of the Chapter and the Chapter Board
- Coordinate Chapter Board meetings
- Appoint, with the approval of the Chapter Board, all committee chairpersons and committee members
- Be an ex-officio member of all committees except the Nominating Committee
- Represent the Chapter at Leadership Conference/Presidents Council Meeting(s)
- Maintain communications with the Association and respond to Association inquiries
- Be responsible for submission of the chapter annual report to the Association within 30 days after annual general meeting
- Supervise budgetary matters and proper internal control of finances

## **The Chapter Vice President(s) shall:**

- Perform the duties of the President in the event of his/her absence or disability
- Assist the President in special projects
- Attend Chapter Board meetings
- Contribute to the chapter annual report, as appropriate

## **The Vice President of Programming shall:**

- Work with the Chapter membership to develop a program schedule
- Work with the speakers to ensure preparation for presentations (equipment availability and set up, handouts, etc.)
- Assist in the development of Chapter sponsored seminars
- Lead the efforts in finding suitable meeting location(s), contracts for meals (if appropriate), etc.
- Attend Chapter Board meetings
- Contribute to the chapter annual report, as appropriate
- Collect RSVPs for meetings, create sign-in sheets and submit meal selections to the provider
- Maintain name tags, sign-in members at meetings and collect meal fees
- Maintain attendance records
- Work with the Treasurer to submit collections

## **The Chapter Secretary shall:**

- Take minutes of the meetings of the Chapter Board and membership meetings and maintain the files of previous minutes for at least three (3) years
- Attend Chapter Board meetings
- Be responsible for the legal affairs, Chapter reports, and communications and correspondence pertaining to the Chapter
- Contribute to the chapter annual report, as appropriate

## **The Chapter Treasurer shall:**

- Be custodian of Chapter funds Maintain chapter checkbook and managed investments - currently certificates of deposit
- Accept receipts from monthly meetings. Reconcile the amounts received to attendance listing and number of meals served.
- Deposit cash receipts from meetings and chapter dues
- Reconcile checkbook
- Pay invoices
- Receive all monies and disburse funds only upon the sanction of the Chapter Board, or the Chapter membership
- Remit dues to the Association as required
- Submit a written report at each Board meeting
- Submit books and records for audit
- Attend Chapter Board meetings
- File any and all tax forms required
- Prepare annual financial statements for inclusion in chapter tax return
- Maintain details records for audit of chapter records
- Contribute to the chapter annual report, as appropriate

## **Immediate Past President of the Chapter shall:**

- Attend Chapter Board meetings
- Serve in an advisory capacity k
- Perform other duties as assigned by the President

## **The Webmaster shall:**

- Update web site for new content such as meetings, newsletters, officer contact information, etc.
- Maintain security of web site password
- Configure PayPal ecommerce transactions used on web pages
- Technical administration of chapter PayPal account
- Administration/configuration of special email addresses to forward to appropriate chapter officers
- Contribute to the chapter annual report, as appropriate
- Other related duties as required

## **The CISA Coordinator shall:**

- Responsible for being a source of information to current and prospective CISAs and CISM's
- Actively promote the CISA exam and program Coordinating a CISA review if the Board deems it beneficial to members
- Contribute to the chapter annual report, as appropriate

## **The Newsletter Editor shall:**

- Gather information and prepare and submit to the Chapter a newsletter prior to each meeting and at other times, as necessary
- Coordinate with the Webmaster to have the newsletter posted on the Chapter website

## **The Membership Director shall:**

- Maintain accurate lists of the membership
- Actively pursue new members
- Address Association inquiries, as appropriate
- Contribute to the chapter annual report, as appropriate

## **The Academic Relations Liaison shall:**

- Liaise with local colleges and universities and/or the university's academic advocate
- Create relationships with university and college contacts
- Contact professors and instructors to promote ISACA and the ITGI and forward contact information to the director of academic relations.

## **The Standards Liaison shall:**

- Act as Chapter's primary contact with the Standards board
- Solicit feedback from Chapter members on exposure drafts of standards, guidelines and procedures
- Schedules and ensures proper delivery of the International Headquarters/Standards Board PowerPoint presentations to the Chapter.

## **The Chapter Members shall:**

- Actively participate in Chapter meetings
- Occasionally volunteer as a presenter at Chapter meetings
- Assist Chapter leaders with special projects such as meeting coordination, development and scheduling of seminars, etc. as needed

If you or someone you would like to nominate would be interested in a board position, please send an email to [kyisaca@isauditor.net](mailto:kyisaca@isauditor.net)

**Please submit your nomination by May 3rd 2007.**



# Kentuckiana Chapter Newsletter

Issue 7 - April 2007

## CISA/CISM Registration Deadline

Register online today to avoid the last minute “rush”...



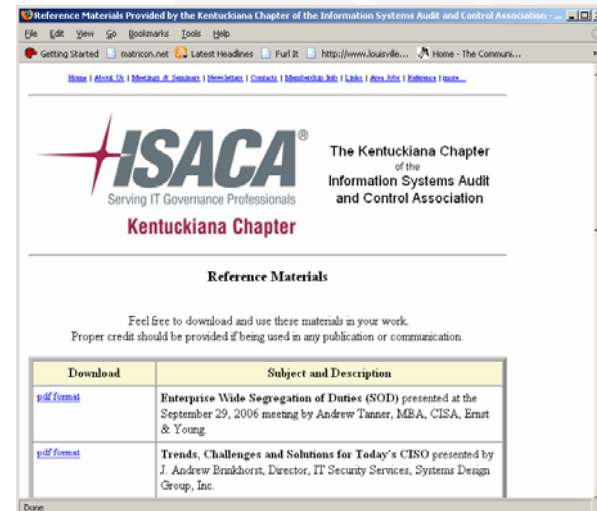
- Final registration deadline: April 11<sup>th</sup> 2007
- Exams given worldwide on: June 9<sup>th</sup> 2007

[Register Online Now](#)

## Chapter Website

Our award winning site contains chapter general information, career opportunities, past newsletters, contact information, reference material and more.

<http://www.isauditor.net/isaca>



## Continuous Auditing: Making the Change

A new perspective on control evaluation and monitoring

### WHEN:

May 14 – 15, 2007 (8 am to 5 pm both days)

**INSTRUCTOR:** Stuart Holoman

**LOCATION:** To be determined.

### WHO SHOULD ATTEND:

(Intermediate Level)

Information Technology, Financial, and Operational Auditors; business executives with technical experience who want to understand continuous auditing

**CPE:** 15 hours

**COST:** \$400

For additional information, contact Connie Davis at [davisc@msdky.org](mailto:davisc@msdky.org)

### Continuous Auditing Seminar Overview:

Continuous auditing has been viewed as the "future of audit" for decades. Finally coming to fruition, it is considered by many today as a way to address compliance with the U.S. Sarbanes Oxley Act of 2002, particularly Section 409's real-time disclosure requirements of complete and accurate current information. However, actually changing the audit process from a historic review activity to a continuous, current basis requires a fundamental shift in the audit department's approach to its audits.

In this two-day seminar you will cover continuous auditing, its conceptual framework, and the areas you must consider when implementing this approach. You will learn the differences between continuous monitoring and continuous auditing and review the viability of these techniques. You will gain an understanding of the increasing need for available, reliable, timely data; investigate internal control assessment and audit's role; and benefit from examples of areas that might be the best places to start. You will discover how continuous auditing can help achieve compliance with internal control reporting and other aspects of Sarbanes-Oxley. You will explore how improving definition and retrieval of data will enhance the way you conduct your audits, and examine automated audit procedures, information system requirements, key controls, reporting, and tools and techniques that will help take your audits to the next level.

## **IIA Calendar of Events**

<u>Date:</u>	<u>Topic/Speaker:</u>
12/12/2006	Presentation Skills for Auditors
01/09/2007	Technology Risks – The Game has Changed, Robert Boyle (Strothman & Company)
02/13/2007	Business Continuity and Disaster Recovery
03/13/2007	Credit Card/Purchasing Card Fraud
<b>04/10/2007</b>	<b>QAR</b>
05/08/2007	Diversity on the Audit Team



### **Louisville Chapter of Institute of Internal Auditors**

The Louisville Kentucky Chapter of the Institute of Internal Auditors has approximately 140 members representing a wide range of businesses in Kentucky and Southern Indiana. The Chapter is a not-for-profit organization that was established to provide its members a means by which they may enhance their knowledge of Internal Auditing and share information.

<http://www.ialou.org/>



## Getting Started

1. Create an online professional profile
2. Link to other professionals you already know
3. Find others you would like to get introduced to
4. Invite others to join LinkedIn

<http://www.linkedin.com>

## How to Expand Your Professional Network

One of the most common reasons professionals join organizations such as ISACA are the benefits of member networking. Whether you're seeking advice from those with greater experience, opportunities to further develop knowledge and skills, or looking to apply for or fill a new position, a strong professional network is the best path to success.

In the past, networking involved exchanging business cards, following up with phone calls and emails, and occasionally meeting in person at chapter meetings and other events. While all of these steps are necessary to build a relationship, it stops short of guaranteeing that you'll stay in contact the rest of your career.

LinkedIn is a tool to help you manage your professional network. It serves as a place to document "connections" as well as make and receive introductions to other professionals. It works on the 6-degrees of separations principle allowing you to view the profiles of others and get introduced to your existing network's immediate contacts. Rather than just getting to know others casually through conventional means, accelerate that process now!

If you are interested in joining LinkedIn and documenting your professional network, please contact Ben Thomas [bdthomas@rescare.com](mailto:bdthomas@rescare.com) I will send you a LinkedIn invitation, which will help you begin creating your professional profile.

## *Chapter Officers*

<u>Officer</u>	<u>Position</u>	<u>E-mail</u>
Matthew Smith	President	matthew_smith@b-f.com
Bruce Edwards	VP & Web master	bruce@bruceedwards.com
Michael Vincent	VP Programming	mvincent@humana.com
Diane Kissel	Secretary	diane.kissel@kindredhealthcare.com
Bob Boyle	Treasurer	rboyle@strothman.com
James Rose	Standards Liaison	jrose1@humana.com
Dave Barker	Academic Liaison	dfbark01@louisville.edu
Melissa Perry	CISA Coordinator	melissaperrycpa@yahoo.com
Bill Bonny	Membership Director	bill.bonny@ajilonfinance.com
Benjamin Thomas	VP & Newsletter	bdthomas@rescare.com